



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/686,934
Applicant : Bao et al.
Filed : October 15, 2003
Title : Waferless Fiber Fabry-Perot Filters
Confirmation No. 4564

TC/A.U. : 2874
Examiner : Palmer, Phan TH
Docket No. : 113-02

CERTIFICATE OF MAILING

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Kay Speaker
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REQUEST FOR EXAMINER'S INITIALS
ON PATENT AND TRADEMARK OFFICE FORM 1449

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the Examiner initial the entries corresponding to all references cited in the Information Disclosure Statements filed with the U.S. Patent and Trademark Office on July 8, 2004. For the Examiner's convenience copies of the relevant PTO 1149 forms and electronic submission materials are provided with this request.

Applicants submitted the Information Disclosure Statements on July 8, 2004, electronically and via Express Mail complying with all the requirements of 37 C. F.R. Section 1.10. A copy of the receipt post card indicating receipt and entry of all the materials submitted via Express Mail to the U.S. Patent and Trademark Office on July 8, 2004 is provided with this request. In addition, a print out generated on December 10, 2004 using the Patent Application Information Retrieval System is provided showing receipt of the materials



submitted via Express Mail and electronically to the U.S. Patent and Trademark Office on July 8, 2004 is also provided with this request.

As these materials were provided more than two months prior to the Notice Of Allowance of September 13, 2004, Applicants respectfully request that the Examiner initial all the entries and return to the Applicants copies showing the Examiner's initials.

It is believed that no fee is due with this submission. If this is incorrect, please deduct any required fee from deposit account 07-1969.

Respectfully submitted,

Stephen B. Barone
Reg. No. 53,968
Customer Number 23713

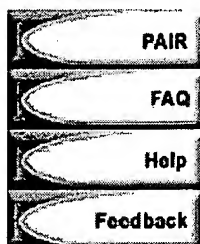
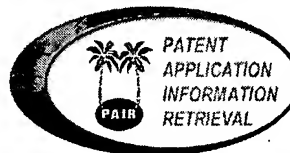
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4875 Pearl East Circle, Suite 200
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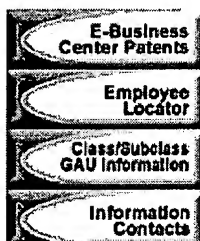
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Search results as of: 12-10-2004::16:35:30 E.T.

Search results for application number:10/686,934			
Application Number:	10/686,934	Customer Number:	23713
Filing or 371(c) Date:	10-15-2003	Status:	Notice of Allowance Mailed -- Application Received in Office of Publications
Application Type:	Utility	Status Date:	09-24-2004
Examiner Name:	PALMER, PHANT H	Location:	ELECTRONIC
Group Art Unit:	2874	Location Date:	-
Confirmation Number:	4564	Earliest Publication No:	US 2004-0247244 A1
Attorney Docket Number:	113-02	Earliest Publication Date:	12-09-2004
Class/ Sub-Class:	385/039	Patent Number:	-
First Named Inventor:	Yufei Bao, Norcross, GA	Issue Date of Patent:	-
Title Of Invention:	WAFERLESS FIBER FABRY-PEROT FILTERS		

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☒ Assignments
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Search

File History	
Date	Contents Description
12-08-2004	Workflow - Query Request - Finish
11-29-2004	Miscellaneous Communication to Applicant - No Action Count
10-21-2004	Workflow - Query Request - Begin
09-24-2004	Receipt into Pubs
09-23-2004	Workflow - File Sent to Contractor
09-13-2004	Mail Notice of Allowance
09-10-2004	Issue Revision Completed
09-10-2004	Notice of Allowance Data Verification Completed
09-01-2004	Receipt of all Acknowledgement Letters
09-01-2004	Receipt of Acknowledgment Letter
07-08-2004	Information Disclosure Statement (IDS) Filed
07-08-2004	Electronic Information Disclosure Statement
06-01-2004	Notice of Allowability

04-30-2004	IFW TSS Processing by Tech Center Complete
04-30-2004	Case Docketed to Examiner in GAU
03-23-2004	Application Return from OIPE
03-23-2004	Application Return TO OIPE
03-23-2004	Application Dispatched from OIPE
03-23-2004	Application Is Now Complete
01-30-2004	Payment of additional filing fee/Preexam
01-30-2004	A statement by one or more inventors satisfying the requirement under 35.115, Oath of the Applic
01-16-2004	Notice Mailed--Application Incomplete--Filing Date Assigned
01-14-2004	Agency Referral Letter Mailed
01-14-2004	Referred by L&R for Third-Level Security Review. Agency Referral Letter Generated
01-09-2004	Referred to Level 2 (LARS) by OIPE CSR
12-03-2003	IFW Scan & PACR Auto Security Review
10-15-2003	Initial Exam Team nn

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USPTO acknowledges receipt of the following:

IDS Transmittal

PTO Form 1449

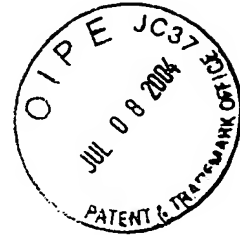
82 References

U.S.S.N. Application 10/742,599

3 pgs.

10 pgs

42 pgs



SBB:nk 07/08/04

U.S.S.N. 10/686,934 filed October 15, 2003

Atty Docket No. 113-02



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**UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1

Stylesheet Version v1.1.1

**Title of
Invention**

Waferless fiber fabry-perot filters

Submission Type: Information Disclosure Statement

Application Number: 10/686934

10/686934

EFS ID: 64227

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	4564
USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: http://www.uspto.gov/ebc/

First Named Applicant: Yufei Bao

Attorney Docket Number: 113-02

Timestamp: 2004-07-08 18:16:40 EDT

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Total files size		76526

Message Digest: c1d7942a4536cc5b031ff78d3c832b1332218a84

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Government,c=US

TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	Waferless fiber fabry-perot filters	
Application Number: 10/686934 *10/686934*		
Date: 2004-07-08		
First Named Applicant: Yufei Bao		
Confirmation Number: 4564		
Attorney Docket Number: 113-02		
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Submitted by:	Elec. Sign.	Sign. Capacity
Steven John Penner Registered Number: 54,371	/stevenjpenner/	Attorney

Documents being submitted

us-ids

Files

113-02ids1-usidst.xml

us-ids.dtd

us-ids.xsl

Comments

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

Waferless fiber fabry-perot filters

Application Number: 10/686934

10/686934

Confirmation Number: 4564

First Named Applicant: Yufei Bao

Attorney Docket Number: 113-02

Search string: (6115122 or 6044189 or 5896193 or 5892582 or 5838437 or 5591965 or 5563973 or 5513913 or 5509093 or 5426297 or 5425039 or 5422970 or 5410404 or 5401956 or 5397891 or 5380995 or 5375181 or 5289552 or 5212746 or 5212745 or 4996419 or 4806012 or 5615224 or 5682237 or 5694503 or 5841920 or 5732169 or 4848499 or 4892388 or 4923273 or 5062684 or 5073004 or 5115441 or 5181213 or 5301201 or 5305336 or 5397739 or 5422470 or 5530715 or 5666373 or 5042898 or 5361130 or 5227857 or 6241397 or 6137812 or 5237630 or 5146527 or 5007705 or 5367589 or 5469520).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6115122	2000-09-05	Bao			
	2	6044189	2000-03-28	Miller			
	3	5896193	1999-04-20	Colbourne			
	4	5892582	1999-04-06	Bao			
	5	5838437	1998-11-17	Miller			
	6	5591965	1997-07-01	Udd			
	7	5563973	1996-10-08	Miller			
	8	5513913	1996-05-07	Ball			
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	10	5426297	1995-06-20	Dunphy			
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	13	5410404	1995-04-25	Kersey
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	16	5380995	1995-01-10	Udd
	17	5375181	1994-12-20	Miller
	18	5289552	1994-02-22	Miller
	19	5212746	1993-05-18	Miller
	20	5212745	1993-05-18	Miller
	21	4996419	1991-02-26	Morey
	22	4806012	1989-02-21	Meltz
	23	5615224	1997-03-25	Cohen
	24	5682237	1997-10-28	Belk
	25	5694503	1997-12-02	Fleming
	26	5841920	1998-11-24	Lemaire
	27	5732169	1998-03-24	Riant
	28	4848499	1989-07-18	Martinet
	29	4892388	1990-01-09	Taylor
	30	4923273	1990-05-08	Taylor
	31	5062684	1991-11-05	Clayton
	32	5073004	1991-12-17	Clayton
	33	5115441	1992-05-19	Kopf
	34	5181213	1993-01-19	Shinokura
	35	5301201	1994-04-05	Dutta
	36	5305336	1994-04-19	Adar
	37	5397739	1995-03-14	Chalmers
	38	5422470	1995-06-06	Kubo
	39	5530715	1996-06-25	Shieh
	40	5666373	1997-09-09	Sharp
	41	5042898	1991-08-27	Morey
	42	5361130	1994-11-01	Kersey
	43	5227857	1993-07-13	Kersey
	44	6241397	2001-06-05	Bao
	45	6137812	2000-10-24	Hsu
	46	5237630	1993-08-17	Hogg
	47	5146527	1992-09-08	Mallinson
	48	5007705	1991-04-16	Morey

	49	5367589	1994-11-22	MacDonald
	50	5469520	1995-11-21	Morey

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Examiner Name	Date

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Electronic Version 1.1

Stylesheet Version v1.1.1

2 of 3

**Title of
Invention**

Waferless fiber fabry-perot filters

Submission Type: Information Disclosure Statement

Application Number: 10/686934

10/686934

EFS ID: 64228

Server Response:

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First Named Applicant: Yufei Bao

Attorney Docket Number: 113-02

Timestamp: 2004-07-08 18:27:04 EDT

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Total files size		76959

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Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	Waferless fiber fabry-perot filters	
Application Number: 10/686934 *10/686934*		
Date: 2004-07-08		
First Named Applicant: Yufei Bao		
Confirmation Number: 4564		
Attorney Docket Number: 113-02		
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Submitted by:	Elec. Sign.	Sign. Capacity
Steven John Penner Registered Number: 54,371	/stevenjpenner/	Attorney

Documents being submitted

us-ids

Files

113-02ids2-usidst.xml

us-ids.dtd

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Comments

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention

Waferless fiber fabry-perot filters

Application Number: 10/686934

10/686934

Confirmation Number: 4564

First Named Applicant: Yufei Bao

Attorney Docket Number: 113-02

Search string: (5602949 or 5978539 or 5991483 or 5999671 or 6181851 or 6229827 or 6240220 or 6327036 or 4955025 or 4782491 or 4780877 or 4680767 or 5208886 or 4545644 or 4358851 or 4813756 or 4861136 or 4830451 or 4932033 or 5037179 or 4787701 or 4629284 or 4490007 or 4448482 or 4258977 or 5251275 or 5469455 or 5588013 or 5381426 or 5959753 or 6163553 or 5132976 or 5504771 or 6160627 or 5734667 or 5381230 or 5617434 or 4530097 or 5619368 or H0001813 or RE035962 or 4982406 or 5243610 or 5365539 or 5914978 or 5946438 or 5878065).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5602949	1997-02-11	Epworth			
	2	5978539	1999-11-02	Davies			
	3	5991483	1999-11-23	Engelberth			
	4	5999671	1999-12-07	Jin			
	5	6181851	2001-01-30	Pan			
	6	6229827	2001-05-08	Fernald			
	7	6240220	2001-05-29	Pan			
	8	6327036	2001-12-04	Bao			
	9	4955025	1990-09-04	Mears			
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21	4787701	1988-11-29	Stenger
22	4629284	1986-12-16	Malavieille
23	4490007	1984-12-25	Murata
24	4448482	1984-05-15	Lathlaen
25	4258977	1981-03-31	Lukas
26	5251275	1993-10-05	Kuriyama
27	5469455	1995-11-21	Reitz
28	5588013	1996-12-24	Reitz
29	5381426	1995-01-10	Fontana
30	5959753	1999-09-28	Duling
31	6163553	2000-12-19	Pfeiffer
32	5132976	1992-07-21	Chung
33	5504771	1996-04-02	Vahala
34	6160627	2000-12-12	Ahn
35	5734667	1998-03-31	Esman
36	5381230	1995-01-10	Blanke
37	5617434	1997-04-01	Tamura
38	4530097	1985-07-16	Stokes
39	5619368	1997-04-08	Swanson
40	H0001813	1999-11-02	Kersey
41	RE035962	1998-11-17	Ball
42	4982406	1991-01-01	Facklam
43	5243610	1993-09-07	Murata
44	5365539	1994-11-15	Mooradian
45	5914978	1999-06-22	Welch
46	5946438	1999-08-01	Minot
47	5878065	1999-03-02	Delavaux

Signature

Examiner Name	Date

File 3 of 3

**UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1

Stylesheet Version v1.1.1

**Title of
Invention**

Waferless fiber fabry-perot filters

Submission Type: Information Disclosure Statement

Application Number: 10/686934

10/686934

EFS ID: 64229

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	4564
USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number:1(866) 217-9197 Website: http://www.uspto.gov/ebc/

First Named Applicant: Yufei Bao

Attorney Docket Number: 113-02

Timestamp: 2004-07-08 18:38:08 EDT

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Government,c=US

TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	Waferless fiber fabry-perot filters	
Application Number: 10/686934 *10/686934*		
Date: 2004-07-08		
First Named Applicant: Yufei Bao		
Confirmation Number: 4564		
Attorney Docket Number: 113-02		
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>		
Submitted by:	Elec. Sign.	Sign. Capacity
Steven John Penner Registered Number: 54,371	/stevenjpenner/	Attorney

Documents being submitted

us-ids

Files

113-02ids3-usidst.xml

us-ids.dtd

us-ids.xsl

Comments

It is believed that no fee is due with this submission. If this is incorrect or for all purposes in this matter, please charge any required fee or credit any overpayment to Deposit Account 07-1969.

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Waferless fiber fabry-perot filters
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Application Number: 10/686934

Confirmation Number: 4564

First Named Applicant: Yufei Bao

Attorney Docket Number: 113-02

Search string: (5703978 or 5721802 or 6529661 or 6671432 or 5381500 or 5159655 or 5179608 or 5650856 or 5050949 or 6263002 or 6449047 or 6504616 or 20030076505).pn.

10/686934

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5703978	1997-12-30	DiGiovanni			
	2	5721802	1998-02-24	Francis			
	3	6529661	2003-03-04	Kropp			
	4	6671432	2003-12-30	Imada			
	5	5381500	1995-01-10	Edwards			
	6	5159655	1992-10-27	Ziebol			
	7	5179608	1993-01-12	Ziebol			
	8	5650856	1997-07-22	Morse			
	9	5050949	1991-09-24	DiGiovanni			
	10	6263002	2001-07-17	Hsu			
	11	6449047	2002-09-10	Bao			
	12	6504616	2003-01-07	Haber			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass

	1	20030076505	2003-04-24	Bao
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Signature

Examiner Name	Date

Form PTO-1449		
ATTY DOCKET NO.: 113-02	SERIAL NO.: 10/686,934	FILING DATE: October 15, 2003
APPLICANT: Bao et al.		GROUP: 2828

U.S. PATENT DOCUMENTS

Exmr. Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
		6,449,047	09/10/02	Bao et al.	356	478	11/12/99
		6,445,838	09/03/02	Caracci et al.	385	14	09/29/00
		6,137,812	10/24/00	Hsu et al.	372	6	02/25/97
		6,113,469	09/05/00	Yoshikawa et al.	451	41	04/21/99
		6,097,530	08/01/00	Asher et al.	359	288	03/10/99
		5,887,099	03/23/99	Csipkes et al.	385	56	10/03/97
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